



PATENT

Case Docket No. GNE.2830PIC7  
Date: March 11, 2002

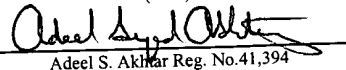
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Baker et al.  
Appl. No. : 10/006,130  
Filed : December 6, 2001  
For : SECRETED AND  
TRANSMEMBRANE  
POLYPEPTIDES AND  
NUCLEIC ACIDS  
ENCODING THE SAME  
Examiner : Unknown  
Group Art Unit : Unknown

I hereby certify that this correspondence and all  
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an envelope addressed to: Commissioner for  
Patents, Washington, D.C. 20231, on

March 11, 2002

(Date)

  
Adeel S. Akhtar Reg. No. 41,394

TRANSMITTAL LETTER

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

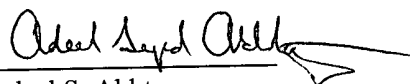
Enclosed for filing in the above-identified application is the following:

- (X) Amendment;
- (X) Sequence Submission Statement;
- (X) Sequence Listing diskette in computer readable form;
- (X) Substitute Sequence Listing;
- (X) Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures;

- (X) Specification in 402 pages;
- (X) Correction of Address;
- (X) Request for Corrected Filing Receipt;
- (X) Copy of original Filing Receipt, with corrections marked in red;

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410; and

- (X) A return prepaid postcard.



Adeel S. Akhtar  
Registration No. 41,394  
Attorney of Record

GNE.2830P1C7



PATENT

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For : SECRETED AND )  
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POLYPEPTIDES AND )  
NUCLEIC ACIDS ENCODING )  
THE SAME )  
Examiner : Unknown )

REQUEST FOR CORRECTED FILING RECEIPT

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P.O. Box 2327  
Office of Initial Patent Examination  
Customer Service Center  
Arlington, VA 22202

Dear Sir:

Applicants hereby request that the Official Filing Receipt, a marked copy of which is enclosed, be corrected to reflect the priority claims of the above-referenced case. Although, the Transmittal Letter claims priority to five U.S. applications (p.2), the Filing Receipt presently only shows the Provisional and PCT applications priority claims. Please add the following U.S. applications as follows to page 2 of the Official Filing Receipt:


Appl. No. : 10/006,130  
Filed : December 6, 2001

1. 09/218,517, filed December 22, 1998
2. 09/284,291, filed April 12, 1999
3. 09/403,297, filed October 18, 1999
4. 09/872,035, filed June 1, 2001
5. 09/882,636, filed June 14, 2001

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: March 11, 2002

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030502



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WASHINGTON, D.C. 20231  
www.uspto.gov

MAR 19 2002

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/006,130	12/06/2001	1645	740	P2830P1C7	249	13	2

CONFIRMATION NO. 1764

## FILING RECEIPT



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San Francisco, CA 94111

Date Mailed: 01/11/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

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## Assignment For Published Patent Application

Genentech, Inc.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/946,374 09/04/2001  
 WHICH CLAIMS BENEFIT OF 60/098,716 09/01/1998  
 AND CLAIMS BENEFIT OF 60/098,723 09/01/1998  
 AND CLAIMS BENEFIT OF 60/098,749 09/01/1998  
 AND CLAIMS BENEFIT OF 60/098,750 09/01/1998  
 AND CLAIMS BENEFIT OF 60/098,803 09/02/1998  
 AND CLAIMS BENEFIT OF 60/098,821 09/02/1998  
 AND CLAIMS BENEFIT OF 60/098,843 09/02/1998  
 AND CLAIMS BENEFIT OF 60/099,536 09/09/1998  
 AND CLAIMS BENEFIT OF 60/099,596 09/09/1998  
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 AND CLAIMS BENEFIT OF 60/099,808 09/10/1998  
 AND CLAIMS BENEFIT OF 60/099,812 09/10/1998  
 AND CLAIMS BENEFIT OF 60/099,815 09/10/1998  
 AND CLAIMS BENEFIT OF 60/099,816 09/10/1998  
 AND CLAIMS BENEFIT OF 60/100,385 09/15/1998  
 AND CLAIMS BENEFIT OF 60/100,388 09/15/1998  
 AND CLAIMS BENEFIT OF 60/100,390 09/15/1998  
 AND CLAIMS BENEFIT OF 60/100,584 09/16/1998  
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 CLAIMS BENEFIT OF 60/101,743 09/24/1998  
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 AND CLAIMS BENEFIT OF 60/102,207 09/29/1998  
 AND CLAIMS BENEFIT OF 60/102,240 09/29/1998  
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 AND CLAIMS BENEFIT OF 60/102,330 09/29/1998  
 AND CLAIMS BENEFIT OF 60/102,331 09/29/1998  
 AND CLAIMS BENEFIT OF 60/102,484 09/30/1998

which claims benefit of  
 09/218,517 12/22/1998 and  
 claims benefit of 09/284,291  
 4/12/1999

and claims benefit of  
 09/403,297 10/18/1999  
 and claims benefit of  
 09/872,035 6/1/2001  
 and claims benefit of  
 09/882,636 6/14/2001

AND CLAIMS BENEFIT OF 60/102,487 09/30/1998  
AND CLAIMS BENEFIT OF 60/102,570 09/30/1998  
AND CLAIMS BENEFIT OF 60/102,571 09/30/1998  
AND CLAIMS BENEFIT OF 60/102,684 10/01/1998  
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AND CLAIMS BENEFIT OF 60/102,965 10/02/1998  
AND CLAIMS BENEFIT OF 60/103,258 10/06/1998  
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AND CLAIMS BENEFIT OF 60/105,807 10/27/1998  
AND CLAIMS BENEFIT OF 60/105,881 10/27/1998  
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AND CLAIMS BENEFIT OF 60/106,023 10/28/1998  
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AND CLAIMS BENEFIT OF 60/106,032 10/28/1998  
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AND CLAIMS BENEFIT OF 60/106,178 10/28/1998  
AND CLAIMS BENEFIT OF 60/106,248 10/29/1998  
AND CLAIMS BENEFIT OF 60/106,384 10/29/1998  
AND CLAIMS BENEFIT OF 60/108,500 10/29/1998  
AND CLAIMS BENEFIT OF 60/106,464 10/30/1998  
AND SAID  
CLAIMS BENEFIT OF 60/106,856 11/03/1998  
AND CLAIMS BENEFIT OF 60/106,902 11/03/1998  
AND CLAIMS BENEFIT OF 60/106,905 11/03/1998  
AND CLAIMS BENEFIT OF 60/106,919 11/03/1998  
AND CLAIMS BENEFIT OF 60/106,932 11/03/1998  
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AND CLAIMS BENEFIT OF 60/107,783 11/10/1998  
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AND CLAIMS BENEFIT OF 60/108,849 11/18/1998  
AND CLAIMS BENEFIT OF 60/108,850 11/18/1998

AND CLAIMS BENEFIT OF 60/108,851 11/18/1998  
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AND CLAIMS BENEFIT OF 60/108,858 11/18/1998  
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AND CLAIMS BENEFIT OF 60/113,296 12/22/1998  
AND CLAIMS BENEFIT OF 60/114,223 12/30/1998  
AND CLAIMS BENEFIT OF 60/129,674 04/16/1999  
AND CLAIMS BENEFIT OF 60/141,037 06/23/1999  
AND CLAIMS BENEFIT OF 60/144,758 07/20/1999  
AND CLAIMS BENEFIT OF 60/145,698 07/26/1999  
AND CLAIMS BENEFIT OF 60/162,506 10/29/1999

**Foreign Applications**

PCT/US99/00106 01/05/1999  
PCT/US99/20111 09/01/1999  
PCT/US99/21194 09/15/1999  
PCT/US99/28313 11/30/1999  
PCT/US99/28551 12/02/1999  
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PCT/US01/19692 06/20/2001  
PCT/US01/21066 06/29/2001  
PCT/US01/21735 07/09/2001

**If Required, Foreign Filing License Granted** 01/11/2002

**Projected Publication Date:** To Be Determined - pending completion of Sequence Disclosures

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Secreted and transmembrane polypeptides and nucleic acids encoding the same





## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/006,130	12/06/2001	Kevin P. Baker	P2830P1C7

MAR 19 2002

PATENT &amp; TRADEMARK OFFICE

CONFIRMATION NO. 1764

## FORMALITIES LETTER



\*OC00000007298402\*

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San Francisco, CA 94111

Date Mailed: 01/11/2002

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Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

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Preliminary Class

MAR 19 2002

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(<http://www.uspto.gov/ebs/efs/downloads/documents.htm>, EFS Submission User Manual - ePAVE)
2. Mailed to:  
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Arlington, VA 22202
3. Mailed by Federal Express, United Parcel Service or other delivery service to:  
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